

Department of Linguistics and Translation

香港城市大學 City University of Hong Kong

# **Computational Linguistics LT3233**



#### Jixing Li Lecture 10: Word Embeddings

Slides adapted from Dan Jurafsky

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### **Lecture plan**

- Representing word meaning
- tf-idf
- Word2Vec: skip-gram
- Evaluating word embeddings
- Short break (15 mins)
- Hands-on exercises

Final exam

• 6:30-8:30 pm, Dec 9, LI-G600

#### **Representing name as features**





### **Word meaning: attributes**

Binder et al. (2016): 65 dimensions, scale: 0-6



#### **Word meaning: co-occurrence**

**Wittgenstein (1953):** The meaning of a word is its use in the language

**Harris (1954):** If A and B have almost identical environments we say that they are synonyms.

**Firth (1957):** A word is characterized by the company it keeps.

# **Example:** *ongchoi*

#### **Suppose you see these sentences:**

ongchoi is delicious sautéed with garlic. ongchoi is superb over rice ongchoi leaves with salty sauces

#### **And you've also seen these:**

…spinach sautéed with garlic over rice chard stems and leaves are delicious collard greens and other salty leafy greens

#### **Conclusion:**

ongchoi is a leafy green like spinach, chard, or collard greens



We could conclude this based on words like "leaves" and "delicious" and "sauteed"

#### **Defining meaning by linguistic distribution** Defining meanning hy linguigtic dictuibution occurs in such a *±*4 word window around the row word. For example here is one is traditionally followed by cherry pie, a traditional dessert **g** meaning by imguistic distribution

Two words are similar in meaning if their contexts are similar and and chinese in modifing in direct contexts are chines.

is traditionally followed by cherry pie, a traditional dessert often mixed, such as strawberry rhubarb pie. Apple pie computer peripherals and personal digital assistants. These devices usually a computer. This includes **information** available on the internet



#### **Word as vector in space**



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#### **Word embeddings**

A word vector is called an "embedding" because it's embedded into a space.  $\rightarrow$  Every modern NLP algorithm uses embeddings as the representation of word meaning



#### **Why embeddings?**

Can generalize to **similar but unseen** words!

ongchoi and spinach will have similar embeddings

#### **tf-idf**

**term frequency-inverse document frequency:** Words are represented by (a simple function of) the **counts** of nearby words. **term-context matrix**: context window = 4



# **Term frequency (tf)**

**tf**<sub>t, $d$ </sub> = count(*t*,*d*): the frequency of word *t* in document *d* 

Instead of using raw count, we squash a bit using *log10*  $tf_{t,d} = log_{10}(count(t,d) + 1)$ 



### **Inverse document frequency (idf)**

**df**t**:** the number of documents *t* occurs in. document frequency (idf) is thus

> $\text{idf}_t = \log_{10} \left( \frac{N}{\text{df}} \right)$ df*t* ◆

(6.13) **N** is the total number of documents in the collection

N=1000000



# extremely information in one play 1.55<br>**good** co-occurs with many words, so

#### **Final tf-idf weighted value for a word** frequency tf*t,<sup>d</sup>* (defined either by Eq. 6.11 or by Eq. 6.12) with idf from Eq. 6.13:

$$
w_{t,d} = tf_{t,d} \times idf_t
$$

battle 0.074 of 2.074 of 2.07<br>And the control of 2.074 of 2

As You Like It Twelfth Night Julius Caesar Henry V



#### **Computing word similarity: Cosine**  $T$ he cosine $\mathcal{L}$ e most measures for vector similarity used in NLP—is based on  $\mathcal{L}$



 $= v_1w_1 + v_2w_2 + ... + v_Nw_N$ 

The dot product tends to be high when the two vectors have large values in the same dimensions The det preduct tends to be bigh when the two vectors und dot product tenus to be ingularitand two vector vector of length in the computer of the same dimensions *a* by he dot product tends to be high when the two vectors have large diues in the same dimensions<br>Not represent aimeilarity, representational conservations

and only use the same announcement is the cost product is the same as the same as  $\rightarrow$  a useful similarity metric between vectors USCIUI SIIIIIIAIILY IIICLIIC DELWECH VECLUIS



 $\begin{array}{ccc} \hline \text{L1} & \text{VCCO13} & \text{PCl11} & \text{II} & \text{VPPO5IC} & \text{O11} & \text{C1} \ \hline \end{array}$ rs point in sa<br>are orthogon<br> *N* di **i** (6.8)<br>*i* (6.8)<br>*i* (6.8) -1: vectors point in opposite directions: dissimilar +1: vectors point in same directions: similar 0: vectors are orthogonal

#### **Cosine similarity: Example**

$$
\cos\left(\frac{\vec{v}\cdot\vec{w}}{|\vec{v}||\vec{w}|}\right) = \frac{\vec{v}}{|\vec{v}|} \cdot \frac{\vec{w}}{|\vec{w}|} = \frac{\sum_{i=1}^{N} v_i w_i}{\sqrt{\sum_{i=1}^{N} v_i^2} \sqrt{\sum_{i=1}^{N} w_i^2}}
$$
\n
$$
\frac{\text{cherry}}{\text{digital}} = \frac{6.76}{1.65} = \frac{2.42}{6.85} = \frac{1.22}{6.83}
$$
\n
$$
\frac{1.44}{6.62} = 6.48
$$

$$
\cos(cherry, information)
$$
  
= 
$$
\frac{6.76 * 1.44 + 2.42 * 6.62 + 1.22 * 6.48}{\sqrt{6.76^2 + 2.42^2 + 1.22^2} \sqrt{1.44^2 + 6.62^2 + 6.48^2}} = 0.49
$$

semanticallyrelated words have higher cosine similarity

 $cos(digital, information)$ =  $1.65 * 1.44 + 6.85 * 6.62 + 6.83 * 6.48$  $\sqrt{1.65^2 + 6.85^2 + 6.83^2}\sqrt{1.44^2 + 6.62^2 + 6.48^2}$  $= 0.99$ 

#### **Sparse vs dense vectors**

**tf-idf** vectors are **long** (length |V|= 100000) **sparse** (most elements are zero) [Alternative: learn vectors which are](https://code.google.com/archive/p/word2vec/) **short** (length 50-1000) dense (most elements are non-zero

 $\rightarrow$  Short vectors may be easier to use machine learning (fewer weights to tu

Word2Vec (Mikolov et al., 2013): simp https://code.google.com/archive/p/wo

#### **Word2Vec**

- Popular embedding method
- Very fast to train
- Code available on the web

#### **skip-gram with negative sampling (SGNS)**

**Idea:** Instead of **counting** how often each word *w* occurs near "*apricot"*

- Train a classifier on a binary **prediction** task:
	- Is *w* likely to show up near "*apricot"*?
- $\rightarrow$  take the learned classifier weights as the word embeddings

#### **Big idea: self-supervision**

- A word c that occurs near *apricot* in the corpus as the gold "correct answer" for supervised learning
- No need for human labels

### **Predicting if word** *c* **is a "neighbor"**

- 1. Treat the target word *t* and a neighboring context word *c* as **positive examples**.
- 2. Randomly sample **other words** in the lexicon to get **negative examples**
- 3. Use **logistic regression** to train a classifier to distinguish those two cases
- 4. Use the **learned weights** as the embeddings

### **Skip-gram training**

Assume a  $+/-$  2 word window, given training sentence:

*…lemon, a [tablespoon of apricot jam, a] pinch…* c1 c2 c3 c4 **Goal:** train a classifier that is given a candidate (word, context) pair (apricot, jam) (apricot, aardvark)

Assigns each pair a **probability**:

…

P(+|w, c): *c* is in the context of word *w*  $P(-|w, c) = 1 - P(+|w, c)$ 

#### **6.8 • Computing probability of the total proba** The sigmoid function returns a number between  $\mathcal{L}_\mathcal{A}$  and 1, but to make it a probability and 1, but to make it a probability  $\mathcal{L}_\mathcal{A}$ and *c* isn't a context word) to sum to 1. We thus estimate the probability that word *c* is not a real context word for *w* as:

One context word: *P*(*|w, c*) = 1*P*(+*|w, c*)

One CONLEXU WOTU:

\n
$$
P(+|w, c) = \sigma(c \cdot w) = \frac{1}{1 + \exp(-c \cdot w)} \qquad P(-|w, c) = 1 - P(+|w, c)
$$
\n
$$
= \sigma(-c \cdot w) = \frac{1}{1 + \exp(c \cdot w)}
$$

we'll also need the total probability of the total probability of the two possible events (*c* is a context word, **c** is a context Multiple context words:  $\mathsf{ords:}\quad$ words in the window. Skip-gram makes the window. Skip-gram makes the simplifying assumption that all context o<br>The simplifying assumption that all context of the simplifying assumption that all context of the simplifying

$$
P(+|w, c_{1:L}) = \prod_{i=1}^{L} \sigma(c_i \cdot w)
$$
  

$$
\log P(+|w, c_{1:L}) = \sum_{i=1}^{L} \log \sigma(c_i \cdot w)
$$

In summary, skip-gram trains a probabilistic classifier that, given a test target word

*w* and its context window of *L* words *c*1:*L*, assigns a probability based on how similar

this context window is to the target word. The probability is based on applying the

logistic (sigmoid) function to the dot product of the embeddings of the target word

words are independent, allowing us to just multiply the independent, allowing us to just multiply the independent

# Example





gram uses more negative examples than positive examples than positive examples (with the ratio between the rat<br>In the ratio between the ratio between

them set by a parameter *k*). So for each of these (*t, c*) training instances we'll create

*k* negative samples, each consisting of the target *t* plus a 'noise word'. A noise word

is a random word from the lexicon, constrained not to be the target word *t*. The

them set by a parameter *k*). So for each of these (*t, c*) training instances we'll create

*k* negative samples, each consisting of the target *t* plus a 'noise word'. A noise word

For each positive example we'll take *k* negative examples (here, *k*=2)

#### **Learn the vectors**

- Given the set of positive and negative training instances, and an initial set of embedding vectors
- The **goal of learning** is to adjust those word vectors such that we:
- **Maximize** the similarity of the target word, context word pairs (w, c<sub>pos</sub>) drawn from the **positive data**
- **Minimize** the similarity of the (w, c<sub>neg</sub>) pairs drawn from the **negative data**

#### **Loss function** we can experience the following loss function  $\mathbf L$  to be minimized by minimized  $\mathbf L$  to be minimized by minimized by  $\mathbf L$ (hence the ); here the first term expresses that we want the classifier to assign the

Maximize the similarity of the target with the actual context words, and minimize the similarity of the target with the *k* negative sampled and minimize the similarity of the target with the *k* negative sampled non-neighbor words. real context word *context word context with the actual cont* 

 $\overline{)}$ 

 $\overline{\phantom{a}}$ 

$$
L_{CE} = -\log \left[ P(+|w, c_{pos}) \prod_{i=1}^{k} P(-|w, c_{neg_i}) \right]
$$
  
= 
$$
- \left[ \log P(+|w, c_{pos}) + \sum_{i=1}^{k} \log P(-|w, c_{neg_i}) \right]
$$
  
= 
$$
- \left[ \log P(+|w, c_{pos}) + \sum_{i=1}^{k} \log (1 - P(+|w, c_{neg_i}) \right]
$$
  
= 
$$
- \left[ \log \sigma(c_{pos} \cdot w) + \sum_{i=1}^{k} \log \sigma(-c_{neg_i} \cdot w) \right]
$$

That is, we want to maximize the dot product of the word with the word with the word with the actual context of

### **Learning the classifier**

How to learn? **Gradient descent!**

We'll adjust the word weights to

- make the positive pairs more likely
- and the negative pairs less likely,
- over the entire training set.



#### **The derivatives of the loss function** expresses that we want to assign the noise words and the noise words of the noise words and the noise words of the noise words and the noise of the noise of the noise of the noise words of the noise of the noise of the noi being a chivatives of the 1988 function derivatives of the loss function

real context word *cpos* a high probability of being a neighbor, and the second term

$$
L_{CE} = -\left[\log \sigma(c_{pos} \cdot w) + \sum_{i=1}^{k} \log \sigma(-c_{neg_i} \cdot w)\right]
$$

$$
\frac{\partial L_{CE}}{\partial c_{pos}} = [\sigma(c_{pos} \cdot w) - 1]w
$$
\n
$$
\frac{\partial L_{CE}}{\partial c_{neg}} = [\sigma(c_{neg} \cdot w)]w
$$
\n
$$
\frac{\partial L_{CE}}{\partial c_{neg}}
$$
\n
$$
\frac{\partial L_{C}}{\partial c_{neg}}
$$
\n
$$
\frac{k}{\text{and} \text{walk}}
$$
\n
$$
\frac{k}{\text{move a prioro}t \text{ and } jam \text{ closer,}}
$$

**apricot**

 $w = -$  increasing c<sub>pos</sub>  $\cdot$  w

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#### **Update weights**

updates in (Eq. 6.39)-(Eq. 6.40).

The update equations in the update equations and the step *the start with randomly initialized* C and W matrices then Start with randomly initialized C and W matrices, then incrementally do updates

$$
c_{pos}^{t+1} = c_{pos}^t - \eta [\sigma(c_{pos}^t \cdot w^t) - 1]w^t
$$
  
\n
$$
c_{neg}^{t+1} = c_{neg}^t - \eta [\sigma(c_{neg}^t \cdot w^t)]w^t
$$
  
\n
$$
w^{t+1} = w^t - \eta [\sigma(c_{pos} \cdot w^t) - 1]c_{pos} + \sum_{i=1}^k [\sigma(c_{neg_i} \cdot w^t)]c_{neg_i}]
$$

Just as in logistic regression, then, the learning algorithm starts with randomly ini-

tialized *W* and*C* matrices, and then walks through the training corpus using gradient

descent to move *W* and *C* so as to maximize the objective in Eq. 6.34 by making the

[s(*c*n*egi ·w*)]*cnegi* (6.37)

### **Get the embeddings**

Skip-gram learns two sets of embeddings **Target embeddings matrix W Context embedding matrix C** 

It's common to just add them together, representing word *i* as the vector  $w_i + c_i$ 

### **Evaluating word embeddings**





Male-Female

Verb tense

Country-Capital

### **Against human judgement**

SimLex-999: Human rating on the similarity between 1000 pairs of words (scale: 0-10)



Calculate the correlation between the cosines of the word embeddings and the simlex-999 values

### **Against human brain data?**





#### **Against human brain data?**







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#### **To do**

- Optional reading: **SLP** Ch6
- Do HW8